

**IN THE UNITED STATES DISTRICT COURT  
FOR THE WESTERN DISTRICT OF TEXAS  
MIDLAND/ODESSA DIVISION**

MATTHEW J. PEASE,

Plaintiff,

v.

SECURITIES & EXCHANGE COMMISSION,  
FINANCIAL INDUSTRY REGULATORY  
AUTHORITY, DEPOSITORY TRUST & CLEARING  
CORPORATION, CHARLES SCHWAB & CO., INC.,  
formerly TD AMERITRADE, GTS SECURITIES LLC,  
EQUINITI TRUST COMPANY, formerly AMERICAN  
STOCK TRANSFER & TRUST COMPANY, NEXT  
BRIDGE HYDROCARBONS, INC., JOHN BRDA,  
GREGORY MCCABE,

Defendants.

Case No. 7:24-CV322-RCG-DC

**RULE 7.1 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, Defendant Financial Industry Regulatory Authority ("FINRA") discloses that it is not a publicly held corporation, has no parent corporation, and no publicly held corporation owns 10% or more of its stock.

Dated: April 29, 2025

Respectfully submitted,



David C. Kent

State Bar No. 11316400

david.kent@faegredrinker.com

FAEGRE DRINKER BIDDLE & REATH LLP

2323 Ross Ave., Suite 1700

Dallas, Texas 75201

(469) 357-2500

(469) 327-0860 (fax)

*Attorneys for Defendant Financial Industry  
Regulatory Authority, Inc.*

**CERTIFICATE OF SERVICE**

This is to certify that a true and correct copy of the foregoing was served on all *pro se* parties and counsel of record through the CM/ECF system on April 29, 2025.

  
\_\_\_\_\_